

U.S. Pat. App. No. 09/652,899  
Amendment Dated July 30, 2003  
Response to Office Action of January 31, 2003

Docket No. 37355-037

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Patent Application of Bishop, et al. )

Appln. No.: 09/652,899 / )

Filed: August 31, 2000 )

For: **METHODS AND APPARATUS FOR  
CONDUCTING ELECTRONIC  
TRANSACTIONS** )

Examiner: Jalatee Worjloh )

Art Unit: 3621 )

Certificate of Mailing

I, hereby certify that this correspondence (along with any documents referred to as attached or enclosed) is being deposited with the US Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 30, 2003.

*Gilberto Hernandez*  
Gilberto Hernandez, Reg. No. 46,483

Response to Office Action under 37 C.F.R. §1.111

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

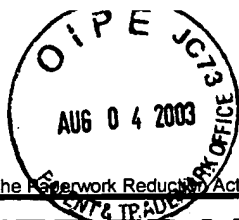
Dear Sir:

This is a response to the Office Action mailed on January 31, 2003. The original period for response was a shortened statutory period of three (3) months from the mailing date of the Office Action with a response due on or before April 30, 2003. A petition for a three (3) month extension of time under 37 C.F.R. 1.136(a) is being submitted herewith, and a response to the Office Action is now due on or before July 31, 2003. Applicants respectfully request the following amendments be entered in the above-referenced patent application.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 4 of this paper.

RECEIVED  
AUG 07 2003  
GROUP 3600



3621/18

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 930

## Complete if Known

Application Number 09/652,899  
Filing Date August 31, 2000  
First Named Inventor Fred Bishop  
Examiner Name Jalatee Worjloh  
Art Unit 3621  
Attorney Docket No. 37355-037

RECEIVED

AUG 07 2003

GROUP 3600

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number  
Deposit Account Name

13-0206

McDermott, Will & Emery

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ ) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	=	18	= 0
Independent Claims	- 3** =	84	= 0
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	930
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Terminal Disclaimer

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 930

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Gilberto Hernandez  
Registration No. 46,483  
Telephone (312) 372-2000  
Signature *Gilberto Hernandez*  
Date July 30, 2003

WARNING: Information on this form may be made public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence with attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

on July 30, 2003  
 Date of Mailing  
Gilberto Hernandez  
 Applicant, Assignee or Registered Representative  
Gilberto Hernandez  
 Signature  
July 30, 2003  
 Date of Signature

			<b>RESPONSE TO OFFICE ACTION TRANSMITTAL LETTER</b>	<b>ATTORNEY'S DOCKET NO.</b> 37355-037
APPLICANT NO. 09/652,899	<b>FILING DATE</b> August 31, 2000	<b>EXAMINER</b> Jalatee Worjloh	<b>GROUP ART UNIT</b> 3621	
<b>INVENTOR(S)</b> Bishop, et al.				
<b>TITLE OF INVENTION</b> Methods and Apparatus for conducting Electronic Transactions				

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is a response in the above-identified application to the Restriction Requirement of January 31, 2003

- ☐ Small entity status of this application under 37 CFR 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish a small entry status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ A request for a three-month extension of time is enclosed.
- ☒ No additional claim fee is required.
- ☐ The fee has been calculated as shown below:

**RECEIVED**  
 AUG 07 2003  
 GROUP 3600

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL		MINUS		
INDEP.		MINUS		
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY			OTHER THAN A SMALL ENTITY	
RATE	ADD'L FEE	OR	RATE	ADD'L FEE
x \$9 =	\$0		x \$18 =	\$
x \$42 =	\$0		x \$84 =	\$
x \$135 =	\$		x \$270 =	\$
TOTAL ADD'L FEE	\$	OR	TOTAL ADD'L FEE	\$

- ☒ Please charge Deposit Account No. 13-0206 in the amount of \$930.00 for the Response to Office Action with Petition for Three-Month Extension of Time. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16 and any patent application processing fees under 37 CFR 1.17 associated with this communication or credit any overpayment to Deposit Account No. 13-0206. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 13-0206. A duplicate copy of this sheet is enclosed.

July 30, 2003  
 (Date)

SIGNATURE: \_\_\_\_\_

ATTORNEY NAME: Gilberto Hernandez  
 REGISTRATION NO: 46,483

McDERMOTT, WILL & EMERY  
 227 WEST MONROE STREET  
 CHICAGO, ILLINOIS 60606  
 tel.: (312) 372-2000  
 fax.: (312) 987-7700  
 CHI99 4151977-1.037355.0037